

DOCKET NO.: UNGR-1598

43  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In Re Application of:**

Evan C. Unger, Terry O. Matsunaga, and  
Patricia A. Schumann

**Serial No.:** 09/699,679

**Group Art Unit:** Not assigned

**Filing Date:** October 30, 2000

**Examiner:** Not assigned

**For:** NOVEL TARGETED COMPOSITIONS FOR DIAGNOSTIC AND  
THERAPEUTIC USE

DATE OF DEPOSIT: 1/25/01

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S. Maurice Valla  
TYPED NAME: S. Maurice Valla  
REGISTRATION NO.: 43,966

2001 JAN 30 AM 10:17  
US PATENT & TRADEMARK  
OFFICE

OFFICE OF FINANCE  
REFUND BRANCH

Assistant Commissioner for Patents  
Washington DC 20231

**ATTENTION:** Refund Section, Accounting Division, Office of Finance

**REQUEST FOR REFUND**  
**(37 CFR 1.28(a))**

**I. SMALL ENTITY STATUS**

Applicant(s) has previously claimed small entity status under 37 CFR §1.27

Adjustment date: 02/09/2001 NVILLARI  Applicant(s) by its/their undersigned attorney, claims small entity  
11/01/2000 BHABTEW 00000053 09699679 -710.00 Status under 37 CFR §1.27 as:  
01 FC:101 -594.00 OP  
02 FC:103

an Independent Inventor

02/09/2001 NVILLARI 00000010 09699679  a Small Business Concern Repn. Ref: 02/09/2001 NVILLARI 0008045400  
01 FC:201 355.00 OP DAB:234030 Name/Number:09699679 \$652.00 CR  
02 FC:203 297.00 OP FC: 704

a Nonprofit Organization

**II. REFUND REQUEST**

This request for refund is made within three months of the date a fee was paid in this application on October 30, 2000, in the amount of \$1,304.00.

### III. FEES PAID FOR WHICH REFUND REQUESTED

FEE PAID:	REFUND REQUESTED:
Filing Fee	\$652.00
Surcharge for filing the basic filing fee on a date later than the filing date of the application (37 CFR 1.16(e) or 37 CFR 1.16(l))	\$
Surcharge for filing the oath or declaration on a date later than the filing date of the application (37 CFR 1.16(e))	\$
Extension of Term	\$
Issue Fee	\$
Patent Maintenance	\$
<input type="checkbox"/> First Maintenance Fee	
<input type="checkbox"/> Second Maintenance Fee	
<input type="checkbox"/> Third Maintenance Fee	
Patent Maintenance Fee Surcharge	\$
Other:	\$
<b>TOTAL REFUND REQUESTED:</b>	<b>\$652.00</b>

### IV. MANNER OF REFUND

Please make refund by

crediting Deposit Account No. 23-3050.

refunding overpayment.

Date:

1/25/01

  
S. Maurice Valla  
 S. Maurice Valla  
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